



**A. PERSONAL INFORMATION**

Owner's Name: \_\_\_\_\_ Age: \_\_\_\_\_ SSN: \_\_\_\_\_

1. Plan:

- Bankers 3
- Bankers 5
- Liberty Choice
- Liberty Flex Plus
- Liberty Select
- Other: \_\_\_\_\_  
(please specify)

Premium: \$ \_\_\_\_\_

2. Tax Qualification Status:

- Non-Qualified
- SEP IRA
- IRA
- Other: \_\_\_\_\_  
(please specify)
- Roth IRA

3. Employment Status:

- Retired
- Work part time ( \_\_\_\_\_ %)
- Work full time

Occupation, if currently employed: \_\_\_\_\_

**B. FINANCIAL PROFILE**

1. Annual Gross Income:

- \$0-49,999
- \$50,000-99,999
- \$100,000-249,999
- \$250,000-499,999
- \$500,000 plus

2. Source of Income (check all that apply):

- Salary
- Social Security
- Interest
- Retirement Plans
- Dividends
- Other: \_\_\_\_\_  
(please specify)

3. Estimated Net Worth (exclude value of primary residence, furnishings and automobiles):

- \$0-49,999
- \$50,000-99,999
- \$100,000-249,999
- \$250,000-499,999
- \$500,000 plus

4. Estimated Income Tax Bracket:

Federal: \_\_\_\_\_ % State: \_\_\_\_\_ %

5. Investments Currently Owned (check all that apply):

- Stocks
- Options
- Bonds
- Real Estate
- Mutual Funds
- Partnerships
- Personal business
- Other: \_\_\_\_\_  
(please specify)

6. Financial Objectives (check all that apply):

- Income
- Safety of Principal
- Growth
- Transfer to Heirs
- Tax Deferral
- Other: \_\_\_\_\_  
(please specify)

7. Period of Time Before Money in the Annuity for which you are Applying is Likely Needed:

- 1-3 years
- 4 -6 years
- 7-9 years
- 10-12 years
- 13-15 years
- 16 years or longer

8. Which Best Describes your Current Investment Strategy for These Funds?

- I want stable, low risk investments that generate consistent returns.
- I don't mind periodic fluctuations in the value of my investments, but want to avoid big losses over time.
- I would accept investments that frequently lose value in exchange for a chance for higher earnings.

**SECTION C: EXISTING ACCOUNT INFORMATION**

If you plan to use funds from existing life insurance or annuity products to purchase this annuity, how long has the policy been in force? \_\_\_\_\_ years

1. Is there a surrender charge associated with the existing contract?

- Not applicable       Yes       No

If yes, what is the current surrender charge? \_\_\_\_\_ %

2. Are there any existing loans on the existing contracts?

- Not applicable       Yes       No

3. If this is a tax-qualified purchase, are you taking Required Minimum Distributions from the existing account?

- Not applicable       Yes       No

4. Are you taking any other periodic distributions from the existing account?

- Not applicable       Yes       No

**SECTION D: WAIVER (TO BE USED ONLY IF SECTIONS B AND C ARE NOT COMPLETED)**

I choose not to provide the requested information regarding my financial profile and existing accounts, but I believe that the purchase of the annuity contract for which I am applying is suitable for my financial situation.

\_\_\_\_\_  
Signature of Owner

\_\_\_\_\_  
Date

**SECTION E: OWNER ACKNOWLEDGEMENT**

By signing below, I acknowledge that the fixed annuity product for which I am applying is a long term contract that contains penalties for early withdrawal. I have reviewed the product brochure with my agent and have determined that it meets my financial needs and objectives.

**X** \_\_\_\_\_  
Signature of Owner

\_\_\_\_\_  
Date

**SECTION F: AGENT ACKNOWLEDGEMENT**

I have reasonable grounds for believing that the recommendation for this applicant to purchase or exchange an annuity is suitable on the basis of the facts disclosed above. Furthermore, I agree to maintain the information collected and used as the basis for this recommendation for a period of at least five (5) years and make it available upon request to Liberty Bankers Life or the insurance commissioner.

During the solicitation of this annuity, I  **did**  **did not** use any sales materials other than pre-printed product brochures provided by Liberty Bankers Life. I certify that the applicant was given a copy of the applicable product brochure. I have attached any and all supplementary information used in the solicitation of this annuity to this form.

**X** \_\_\_\_\_  
Signature of Agent

\_\_\_\_\_  
Date